## MBEYA UNIVERSITY OF SCIENCE AND TECHNOLOGY



# GUIDELINES FOR ASSESSMENT OF ACADEMIC STAFF PERFOMANCE

May 2023

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### ABBREVIATIONS AND ACRONYMS

AHRMC-AC Appointment and Human Resource Management Committee for

Academic Staff

GPA Grade Point Average

RHSS Revised Harmonized Scheme of Service for Academic Staff in

Public Universities and Constituent Colleges (2022)

MUHAS Muhimbili University of Health and Allied Sciences

MUST Mbeya University of Science and Technology

OUT Open University of Tanzania

PSRPC Postgraduate Studies, Research and Publication Committee

SUA Sokoine University of Agriculture

UDSM University of Dar es Salaam

### PREAMBLE AND CITATION

**Academic Staff**: Means a University or a Constituent staff designated as either:

- (i) Professor/Research Professor/Library Professor;
- (ii) Associate Professor/Associate Research Professor/Library
  Associate Professor;
- (iii) Senior Lecturer/Senior Research Fellow/ Senior Librarian;
- (iv) Lecturer or Research Fellow/Librarian;
- (v) Assistant Lecturer/Assistant Research Fellow/Assistant Librarian; and
- (vi) Tutorial Assistant/Research Trainee/Library Trainee.

**Assessor:** Means a person appointed by the Head of Department to assess publications of an academic staff for promotion purposes. The assessor can either be internal or external.

**External Assessment:** A publication assessment conducted by a member of staff who is not working with MUST.

**Internal Assessment:** A publication assessment conducted by a member of staff working with MUST.

**International Journal**: Means one with an International Editorial Board, an international classification index and internationally retrievable.

**Refereed Journals:** Shall include recognized and reputable journals with either ISBN/ISSN/ digital object identifier (doi).

**Refereed Proceedings**: Means conference papers that have been published and have an ISBN/ISSN number or available online.

**Retrievable Journal Paper**: Means a published paper that can be found as hardcopy and made available or accessible online.

**Unit:** Means measurement used to grade papers and other publications.

University: Means Mbeya University of Science and Technology.

### Citation

This Guideline is cited as The Mbeya University of Science and Technology Guidelines for Assessing Academic Staff Performance 2023 and shall come into force on the day of its approval by the AHRMC of Mbeya University of Science and Technology.

### 1 INTRODUCTION

# 1.1 Historical Background of Mbeya University of Science and Technology

Mbeya University of Science and Technology (MUST) is a result of two successive transformations. The first involved the transformation of the then Mbeya Technical College (MTC) which was established in the 1986 to Mbeya Institute of Science and Technology (MIST) in 2005. MTC was offering four Full Technician Certificate programmes in the fields of Civil, Mechanical, and Electrical Engineering as well as Architecture. The second, involved transformation of MIST to Mbeya University of Science and Technology (MUST) through a Charter of Incorporation by the President of the United Republic of Tanzania as stipulated in Section 25 of the Universities Act No.7 of 2005 and Article 3-(1) of the Mbeya University of Science and Technology Charter (2013) through the MUST Charter 2013. All these transformations are responses by the government to increase the number of technical experts who are responsive to the various human resource requirements. Up to this end, several programmes for Diploma, Bachelor and postgraduate studies are being offered.

### 1.2 Vision

The Vision of Mbeya University of Science and Technology is to become the leading centre of excellence for knowledge, skills and applied education in science and technology.

#### 1.3 Mission

The Mission of Mbeya University of Science and Technology is to develop academically, technologically and socially competent students, staff and other stakeholders who will be responsive to the broader needs and challenges of the society specified by:

(a) Facilitating appropriate tuition, practical training and support according to the needs of students and other customers.

- (b) Encouraging staff commitment to quality education and services including research, consultancy and innovation.
- (c) Fostering lifelong learning, honesty and responsibility.
- (d) Promoting an environment conducive to human development.
- (e) Promoting effective entrepreneurship and usage of appropriate technology that meet national and international needs, standards, skills and practical oriented training, research and consultancy.

## 1.4 Rationale for Review of the Guidelines for Assessing Academic Staff Performance

Since the development of the Guideline in 2015 and its implementation, it was noted that there are issues that need to be addressed in the Guidelines due to recent improvement made in the Revised Harmonized Scheme of Service for Academic Staff in Public Universities and Constituents Colleges (2022). The issues include promotion of Assistant Lecturer to Lecturer, minimum points for promotion from Associate Professor to Full Professor and distribution of point for co-authored publications. These issues and many others are envisaged to promote and foster cross-sectorial and multi-disciplinary research and publication.

#### 2 BENCHMARKING

In the process of revising the Guideline, related policies and guidelines from other similar institutions were consulted. The details are provided below.

### 2.1 University of Dar es Salaam (UDSM)

The UDSM's Guideline was developed in 2016 and has set minimum points for promotion which increases with the rank from 2 up to 7 points from publications. It also recognizes promotion of PhD students who have good academic progress and have 1 point from publication. In addition, minimum limit of points contributed from journal publications and maximum points from any other publications have been set. Points for co-authored papers are shared among authors. The grading suggest that Excellent (A) and Very Good (B+)

have maximum point and Good (B) has 50% of the maximum point, while the rest is 0 and is regarded as poor. Requirements from teaching have been set and the maximum is 2 points. Diversification is limited to maximum 50% of the publications from one journal.

### 2.2 Sokoine University of Agriculture (SUA)

The promotion guideline for SUA is called "Up the ladder: Criteria and Conditions for Employment and Promotion of Academic Members of Staff," and was developed in 2016. SUA recognizes both in service and new employment for various levels. It emphases on diversification of publications and limit it to 35%, 40% and 45% for Senior Lecturer, Associate Professor and Professor. Points from co-authored papers are shared among the authors. The grading suggests that Excellent (A), Very Good (B+) and Good (B) have maximum points, while 50% of the maximum point regarded as Satisfactory (C) and is awarded 50%.

### 2.3 Open University of Tanzania (OUT)

The OUT's Guideline has set minimum point of promotion which increase as you climb up the ranks from 1 up to 16 points from publications. Papers published in refereed journals shall not be subjected to any evaluation. In addition, minimum limit of points contribution from journal publications and co-authored papers for teaching and research staff have been set. Points from co-authored papers are shared among the authors. The grading suggest that Excellent (A) and Very Good (B+) have maximum points and Good (B) has 50% of the maximum points, while the rest is 0 and is regarded as poor.

### 2.4 Muhimbili University of Health and Allied Sciences (MUHAS)

The MUHAS's Guideline was developed in 2009. In addition to other publications, it recognizes PhD thesis (monograph) and research reports and have been given 1 and 0.5 points respectively. MUHAS has set minimum points of promotion which increase with the ranks from 3 to 6 points from publications. In addition, scholarly grants contribute to promotion of staff. In

addition, minimum limit of points contributed from journal publications and grants is 50%, 60% and 60% for Senior Lecturer, Associate Professor and Professor respectively. For other publications maximum points have been set. Points for co-authored papers are shared among the authors. The grading suggest that Excellent (A) and Very Good (B+) have maximum points and Good (B) has 50% of the maximum points, while the rest is 0. Requirements from teaching have been set and the maximum is 15% of the minimum points is required. Diversification is limited to minimum 50% of the publications from one journal.

# 2.5 Revised Harmonized Scheme of Service for Academic Staff in Public Universities and Constituents Colleges (2022)

Salient features in the RHSS include minimum qualifications required for appointment, working experience, career progression and responsibilities to be performed by each rank in the carder. The integral responsibilities for promotion include teaching, research, consultancy and community outreach services. Based on seniority, the academic staff recognised include Professor or Research Professor or Library Professor, Associate Professor or Associate Research Professor or Associate Library Professor, Senior Lecturer or Senior Research or Senior Librarian Fellow, Lecturer or Research Fellow or Librarian, Assistant Lecturer or Assistant Research Fellow or Assistant Librarian and Tutorial Assistant.

The RHSS has provided entry level for each academic carder and has defined the minimum GPAs (Bachelor GPA is 3.8 and Masters GPA is 4.0), points from publications (Professor 7 points, Associate Professor 6 points, Senior Lecturer 5), points from teaching accumulated in three years of teaching (Professor 2 points, Associate Professor 2 points, Senior Lecturer 2 points). In addition, the maximum points awarded for each academic publication has been set with a minimum being zero.

The treatment of academic staff is in accordance to RHSS, the Public Service Act, the Universities' Act and Charter, Financial Regulations and Staff Regulations of the respective Universities and Constituent Colleges.

### 3 ASSESSMENT OF ACADEMIC PUBLICATIONS

### 3.1 Assessment of Individual Publications

### 3.1.1 Publications in journals

- (a) Journal papers should be awarded 0-1.0 points.
- (b) Papers should be published in internationally recognized journals and retrievable.

### 3.1.2 Conference papers retrievable from proceedings

- (a) Only papers published and retrievable from refereed proceedings should be considered for promotion.
- (b) The papers should be evaluated like any other publication
- (c) The published papers should be awarded 0 0.5 points.

### 3.1.3 Consultancy reports

- (a) Consultancy reports registered by colleges should be. considered for promotion to all ranks.
- (b) A consultancy report shall carry 0-0.5 points.

### 3.1.4 Co-authored publications

The weight of co-authored publications should be shared among all contributors.

- (a) Two authors: 50% each.
- (b) Three authors: 40% first author and others share the 60%.
- (c) More than three authors: 30% first author and others share equally 70%.

### 3.1.5 Book/book chapters/book review

- (a) Where authors produce a research-based scholarly book on a particular subject matter, it should be evaluated and awarded 0-6.0 points (shared by authors according to their contribution).
- (b) Where authors contribute chapters (in the form of research papers) to such a book, each chapter should be evaluated as a paper worth 0-1.0 points.
- (c) Dictionaries (general and subject) should be evaluated as books and awarded 0-6.0 points.
- (d) Book review that has been recognized by a recognized publisher and has been published in a peer review journal and awarded 0-0.5 point.

### 3.1.6 Dictionaries (subject and general)

Where a dictionary is approved by a recognized book publisher:

- (a) A maximum score for an individual's contribution to a dictionary (subject & general) with an ISBN Number shall be awarded 0 6 points.
- (b) A letter in a dictionary shall be awarded 0 1.0 point.
- (c) Points for multi-authored letters in a dictionary shall be shared by authors equally.

#### 3.1.7 Patents

Patented material shall be awarded 0 - 6 points.

### 3.1.8 Case study/Extension material

- (a) A case report appearing in the referenced journal shall be awarded 0 0.5 of a point.
- (b) Extension material including extension publication shall be awarded 0 0.5 of a point.

### 3.1.9 Clinical/Community services

Guidelines for Effectiveness of community/clinical service delivery shall be specified by TCU in consultation with relevant professional body and awarded 0-1 point.

### 3.1.10 Dissertations/Theses/Research Reports/Technical Notes

Should not be considered for promotion.

### 3.1.11 Grading system

- (a) The letter grade system should be used.
- (b) For the purpose of determining the points of a publication, the letter grade awarded for "overall quality" of the paper should be used.
- (c) The following points of publications shall be assigned to the letter grades.

**Table 1:** Conversion of Letter Grade to Points of Publications

Letter Grade	Qualitative Evaluation of the Publication	Journal	Conference Papers	Chapters in a Book	Consultancy Reports	Books
A	Excellent	1.0	0.5	1.0	0.5	6.0
B+	Very Good	1.0	0.5	1.0	0.5	6.0
В	Good	0.5	0.25	0.5	0.25	3.0
С	Satisfactor y	0	0	0	0	0
D	Poor	0	0	0	0	0

## 3.1.12 Summary of points for each publication

Table 2: Summary of Assessment of Publications

S/N	Publication					
1	Conference Papers	Should be published				
2	Consultancy Reports	Should be registered and evaluated like publication	0-0.5			
3	Journal Articles	Should be published in peer reviewed, recognized and international journals.	0-1.0			
4	A Book	Scholarly and research-based on a particular subject	0-6.0			
5	Chapters in an Edited Book	Each chapter to be evaluated	0-1.0			
		Dictionary approved by a recognized book publisher:				
6	Dictionaries (Subject and general)	A maximum score for an individual's contribution to a dictionary (subject & general) with an ISBN No.	0-6			
		A letter in a Dictionary	0-1.0			
7	Co-authored publications	<ul><li>(a) Two authors: 50% each</li><li>(b) Three authors: 40% first /lead author and others share the 60%.</li><li>(c) More than three authors: 30% first/lead author and others share equally 70%</li></ul>	0-1.0			
8	Book Reviews	Book review that has been recognized by a recognized publisher and has been published in a peer review journal.	0 - 0.5			
9	Case Report	A case report appearing in the referenced journal	0 - 0.5			
10	Patents	Patented material	0 - 6			
11	Extension Material	Extension material including extension publication	0 - 0.5			
12	Teaching Effectiveness	Department and Quality Assurance committees should be used in assessing teaching effectiveness.	0 - 2			
13	Clinical/Commu	Effectiveness of community/clinical	0 - 1			

S/N	Types of Publication	Conditions for Acceptance	No. of Points
	nity services	service delivery. Guidelines for "Effectiveness of community/clinical service delivery shall be specified by TCU in consultation with relevant professional body	
14	Dissertations/T heses	Should not be considered	N.A
15	Research Reports <sup>1</sup>	Should not be considered.	N.A
16	Technical Notes	Should not be considered	N.A

### 3.2 Minimum Points from Publications and Teaching for Promotion

The minimum points from publications and teaching experience for various academic ranks.

### 3.2.1 Tutorial Assistant/Research Assistant/Library Assistant

First Degree at First or Upper Second Division with a GPA of 3.8 or above plus interview

## 3.2.2 Tutorial Assistant/Research Assistant /Library Assistant to Assistant Lecturer/Assistant Researcher/Assistant Librarian

Masters with a B+ performance at a GPA of 4.0 and above, potentially good academically. Should be interviewed if he/she is to be employed directly as Assistant Lecturer.

# 3.2.3 Assistant Lecturer/Assistant Researcher/Assistant Librarian to Lecturer/Researcher/Librarian

Promotion from Assistant Lecturer to Lecturer requires a PhD in the relevant field. Promotion of Assistant Lecturer to Lecturer who is a holder of MMED/MDent must have at least a GPA of 4.0 out of 5.0.

<sup>&</sup>lt;sup>1</sup>Research findings should be publishes (journal or conference paper or book) and be considered for promotion.

# 3.2.4 Lecturer/Researcher/Librarian to Senior Lecturer/Senior Researcher/Senior Librarian

Promotion from Lecturer to Senior Lecturer for a PhD holder requires 3 points from publications and 2 points from teaching. The total number is 5 point. Promotion of a Lecturer to Senior Lecturer who is a holder of MMED/MDent requires 5 points from publications and 2 points from teaching for 3 years. The total number of points is 5.

## 3.2.5 Senior Lecturer/Senior Researcher/Senior Librarian to Associate Professor/Associate Research Professor/Associate Library Professor

Promotion from Senior Lecturer to Associate Professor requires 6 points from publications and 2 points from teaching for a period of 3 years. The total number of points is 8.

# 3.2.6 Associate Professor/Associate Research Professor /Associate Library Professor to Professor/Research Professor /Library Professor

Promotion from Associate Professor to Full Professor requires 7 points from publications and 2 point from teaching for a period of 3 years. The total number of points is 9.

### 3.2.7 Other pertinent issues to consider

- (a) Papers that are published as a requirement for the award of either PhD or Masters shall not count in promotion.
- (b) For promotion to the position of Senior Lecturer, only internal assessment is required and when there is no expert in the field, external assessment is required.
- (c) For promotion to the position of Associate Professor or Professor, both internal and external assessments are required and when there is no expert in the field, two (2) external assessments are required.

- (d) Academic members of staff should, as far as possible, diversify the journals in which they publish their articles. Except for the few disciplines that may have highly specialized or limited journal titles, not more than 50% of the minimum promotion points from papers considered for promotion should come from one journal title, whether for a professorial or a non-professorial rank.
- (e) In any case, Department would have to make a special case to the Appointments Committee for any divergence from this rule to be considered.
- (f) In order to be considered for promotion to Associate Professor and Professor, the distribution of publications must be at least 70% in international peer reviewed journals, while a maximum of 30% of such publications may come from National peer reviewed journals.
- (g) In case this guidelines fall short on some issues, the Revised Harmonized Scheme of Service for Academic Staff in Public Universities and Constituents Colleges (2022) should be consulted.

### 3.3 Balance between various types of publications

Table 3 show the balance between journal papers, books, conference papers, research reports, consultancy reports, and book chapters for promotion to various ranks of academic staff. Any combination of the components fulfilling the criteria in Table 3 should be 100% or more but not less.

**Table 3:** Balance between various types of publications

Type of publication		Lecturer to Senior Lecturer	Senior Lecturer to Associate Professor	Associate Professor to Professor		
Journal papers		Min. 35%	Min. 40%	Min. 45%		
		[1.05 Points]	[2.4 Points]	[3.15 points]		
Scholarly	Books/	Max. 20%	Max. 30%	Max. 30%		
Patents/Dictionar	у	[0.6 Points]	[1.8 Points]	[2.1 Points]		

Type of publication	Lecturer to Senior Lecturer	Senior Lecturer to Associate Professor	Associate Professor to Professor
Chapters of a book/Published	Max. 35%	Max. 20%	Max. 20%
Conference Papers/Book Review/Case Report	[1.05 Points]	[1.2 Points]	[1.4 Points]
Consultancy/Extension Materials/Community/Cl inical Reports	Max. 10% [0.3 Points]	Max. 10% [0.6 Points]	Max. 5% [0.35 Points]
Maximum publications from one journal title	50% [1.5 Points]	50% [3.0 Points]	50% [3.5 Points]
Minimum Total Publication Points	3	6	7
Minimum Teaching Effectiveness Points	2	2	2
Minimum Total Points Required	5	8	9

### 4 FILLING OF STAFF EVALUATION FORM

- (a) Filling of the evaluation form is part of the conditions of service that is accepted and signed by each staff member at the time of recruitment.
- (b) Each academic member of staff is obliged to complete and submit evaluation forms so as to allow evaluation of the member's performance during the year in terms of efficiency and effectiveness in carrying out his/her duties and responsibilities.
  - (i) If a member does not submit the evaluation form without acceptable reasons for the year under review, he/she will be served with a written warning.

- (ii) If non-submission of the evaluation form is repeated in the subsequent year, the staff member concerned will be served with a stern written warning letter.
- (iii) If this occurs in a third consecutive year, the staff member will be required to seek alternative employment.
- (c) The Deputy Vice Chancellor (Academic Research and Consultancy),
  Principals, Directors and Heads of Department should ensure that
  each staff member gets the evaluation form in good time.

### 5 STAFF WHO PUBLISH WHILE ON LEAVE OF ABSENCE

University staff members who have been away for some time shall be eligible for promotion only after a minimum of one year of service upon the resume of work and total number of years of service should sum up to a minimum of three years of services at the rank.

## 6 SUBMISSION OF ORIGINAL CERTIFICATES AND COPIES OF DISSERTATIONS

- (a) A staff member returning from study leave is required to submit his/her original degree certificate for verification and copy of his/her dissertation/thesis within one year after completion of studies.
- (b) A staff member who fails to submit his/her original degree certificate and copy of her/his dissertation/thesis within the specified period without acceptable reason shall be considered to have failed to complete the programme. Such a staff shall be liable for re-categorization to non-academic cadre.
- (c) Awards from foreign institutions must be evaluated for recognition by either TCU.
- (d) Certificates from unaccredited universities shall not be recognized.

### 7 ACADEMIC DISHONESTY

- (a) The assessment of publications submitted by academic members of staff at the Mbeya University of Science and Technology is carried out on the assumption that the academic member of staff whose publication(s) is or are subjected to assessment observed all the rules against academic dishonesty.
- (b) Where evidence that established a case for academic dishonesty on the part of the academic member of staff is tendered to the Mbeya University of Science and Technology authority, either before, during or after the assessment, the University shall have the power to commence disciplinary proceedings against the individual academic member of staff.
- (c) Proof of academic dishonest shall render the publication(s) submitted invalid, regardless of whatever disciplinary measures were taken against the academic member of staff in question.
- (d) Acts of academic dishonesty include but are not limited to:
  - (i) Plagiarism, and
  - (ii) The acquisition and use, without acknowledgment, of academic materials belonging to someone else.
- (e) Any other form of dishonest that may be determined by the University.

#### 8 PROFESSORIAL INAUGURAL LECTURES

Professors are expected to give Professorial Inaugural Lectures in their fields of specialization within two years of promotion. A professor will select a topic for his/her Professorial Inaugural Lecture in consultation with the Deputy Vice Chancellor Academic Research and Consultancy who shall assist when a need for assistance arises.

#### 9 PROCEDURE FOR EVALUATING ACADEMIC STAFF

#### 9.1 Academic Staff

An academic staff seeking promotion should submit to the Head of Department a bounded Academic Promotion Application Set which is composed of:

- (a) Application letter addressed to the respective Head of Department;
- (b) An updated Curriculum Vitae with all publications referenced; and
- (c) Letter of last promotion.

The publications should show:

- (a) Authorship (indicate all authors for co-authored works)
- (b) Title
- (c) Publisher and Place
- (d) Year of publication
- (e) For a book, number of pages; for a journal article, page number, e.g. p 12-21
- (f) For works that are yet to be published but have been accepted for publication, requirements in sections (i), (ii), (iii) and (iv) above apply. In addition, a letter of acceptance by the publisher must be submitted.

### 9.2 Head of Department

- (a) The Head of Department in consultation with the Department Evaluation Team sends the submitted publications, together with the CV and the assessment criteria, to an assessor.
- (b) The Head of Department is required to ensure that the information in 9.1 above is complete before the publications are sent to the assessor.
- (c) For promotion to the ranks up to Senior Lecturer the assessment is done internally by an academician with a rank equal or above.

- (d) For promotion to the ranks of Associate Professor/ Professor the assessment is done both internally and externally by an academician of a rank of equal or above.
- (e) Co-authored publications from staff at MUST are assessed once and the other coauthors will use the same assessment when seeking promotion.

### 9.3 Assessment of publications

Assessors are required to submit the following information on each publication:

- (a) Assessment of the publication in relation to:
  - (i) Coverage of subject matter
  - (ii) Originality
  - (iii) Contribution to knowledge
  - (iv) Relevance of academic discipline
  - (v) Relevance of individual's own specialization in an academic discipline
  - (vi) Presentation
  - (vii) Overall quality
- (b) For each aspect (a) (i-vii) above, a grade should be given according to system shown below. For the overall quality of the publication (a) (i-vii)) above), the grade should reflect the average. In this regard, the definitions of the letters are:
  - A Excellent
  - B+ Very Good
  - B Good
  - C Satisfactory
  - D Poor

### 9.4 Overall Assessment of the Author

- (a) The assessor should provide an overall assessment of the author by indicating the following:
  - (i) Whether the quality of the publications assessed in general reflect the author's current academic rank (Yes/No);
  - (ii) Whether the quality of the publications assessed merit promotion of the author to the next academic rank (Yes/No); and
  - (iii) Any other comments, suggestions, or recommendations.
- (b) The assessor's name, academic qualification, title, address and signature must be submitted to the Head of Department, together with the assessment report.
- (c) Where the internal and external reviewers of a publication differ substantially, another external reviewer should be used for arbitration. Eventually, review reports of the two assessors which are somehow similar to each other shall be considered.

### 9.5 Assessment by the Department

- (a) After receiving the assessor's report, the Departmental Evaluation Team is required to go through the Assessment Form One (Overall Assessment) (Appendix A) and Assessment Form (For each publication) (Appendix B), Summary of assessment (Appendix C), Analysis (Appendix D) and submit its own recommendation on the assessment to the respective College Board.
- (b) The Departmental Evaluation Team should not forward to the College Board any assessment reports that are incomplete or does not meet the minimum requirements.

(c) The Departmental Staff Review Committee should on the basis of the assessment, weight every publication according to the guidelines for assessment of academic performance.

### 9.6 College Board

After receiving the report from the Department, the College Board will discuss and write a report to the Postgraduate Studies, Research and Publication Committee.

### 9.7 Postgraduate Studies, Research and Publication Committee

After receiving the report from the College Board, the Postgraduate Studies, Research and Publication Committee (PSRPC) will discuss and write a report to the Appointment and Human Resource Management Committee for Academic Staff (AHRMC-AC).

## 9.8 Administration, Human Resource Management Committee for Academic Staff

After receiving the report form Postgraduate Studies, Research and Publication Committee the AHRMC-AC will discuss and:

- (a) Approve promotion of staff up to the rank of Senior Lecturer; or
- (b) Recommend to the MUST Council the promotion of Associate Professor or Professor.

#### 10 REVIEW OF GUIDELINES

The Guidelines shall be reviewed after every three (3) years or when deemed necessary.

### REFERENCES

- Mbeya University of Science and Technology (2014), Guidelines for the Assessment of Academic Staff Performance, Mbeya;
- Mbeya University of Science and Technology Charter (2013), Mbeya
- Muhimbili University of Health and Allied Sciences (2009) Academic Staff Performance Assessment Guidelines;
- Sokoine University of Agriculture (2016), Up the Ladder: Criteria and Conditions for Employment and Promotion of Academic Members of Staff, Morogoro;
- United Republic of Tanzania (2014), Harmonized Scheme of Service for Academic Staff in Public Universities and Constituent Colleges, Dar es Salaam.
- University of Dar Es Salaam (2016) Guidelines for the Assessment of Academic Staff Performance, Dar es Salaam;
- Open University of Tanzania, Guidelines for Evaluations of Publications, Dar es Salaam.

### APPENDIX A

OVERALL ASSESSMENT OF PUBLICATIONS
1.0 Name of Staff (Author):
2.0 Overall Quality of All Publications
2.1 Is the quality of publications assessed in general reflects the author's
academic rank of Lecturer/Senior Lecturer/Associate Professor/
Professor?
Yes/No
2.2 Is this quality of publications assessed in general merit promotion of the author to Lecturer/Senior Lecturer/Associate Professor/ Professor (If other Attributes for promotion have been qualified)?
<b>Yes/No</b> (Support your answer with a statement)
3.0 Any other Comments
Assessor's Particulars
Name:
Academic Qualification:
Designation:
Address:
Signature:Date:

### APPENDIX B

### **EVALUATION OF PUBLICATIONS FOR STAFF PROMOTION**

1. NAME OF STAFF	·			
2. DETAILS OF PUE	BLICATION			
Name of Author:				
Title of Publication	า:			
Other Details:				
For Journal/Confe	rence Proceedings	}-		
Name of Journal:				
Volume Number:	Issue No.:	Year:	Page:	
For Books/Teachin	g Manuscript			
Name of Publisher				
Edition:	Year:	Page:		
For Consultancy Re	eports			
Owner of Report:				
Registration number	····.Year:	Page	es:	
3. SUMMARY OF M	AJOR POINTS IN	THE PUBLICATI	ON	
				. <b></b>
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4. ORIGINALITY OF	PUBLICATION			•••
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5. CONTRIBUTION TO THE ADVANCEMENT OF KNOWLEDGE									
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6. GR	ADING								
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S/N	Attribute	GR	ADE	(Plea	se Ti	ck)			
О		A	B+	В	С	D	E		
1	Coverage of Subject Matter								
2	Originality of Publication								
3	Contribution of Knowledge								
4	Relevance to Academic Discipline								
5	Relevance to Individual								
	Specialization								
6	Presentation								
7	Overall Quality								
Name	Name of Reviewer: Signed:								
For D	epartmental use only								
	OMMENTS BY DEPARTMENTAL	AC.	ADEI	<b>MIC</b>	STA	AFF	REVIEW		
7.1 Ge	eneral Comments based on Reviewer's	Rep	ort						
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7.2 Av	vard of Units of Publication								

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Based	on	the	above	comments,	this	paper/book/repor	t is	awarded
		Ur	nits of P	ublication.				
The au	thor'	s con	tributio	n is	Uni	it(s)		
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### APPENDIX C: SUMMARY OF EVALUATION OF INDIVIDUAL PUBLICATIONS

S/N	No. as in	Title of Publication	Authors		Assessment				
				External	Internal	Department	Staff Share		
	CV			Reviewer	Reviewer	Points			
A: Jo	urnal Pa	apers		<u>'</u>		I			
1									
2									
3									
4									
5									
6									
Subt	otal 1:		•						
B. Co	nferenc	e Papers		-	1	1	1		
1									
2									
3									
Subt	otal 2								
C. Co	nsultan	cy Reports							
1									
2									
3									
Subt	otal 3		•						
(Add Table		pending of the type of publication	s (Section 3.1 an	d					
	d total								

### **APPENDIX D: ANALYSIS**

Publication (P)		Criteria	Condition	Actual	Validity
Journal papers	Journal papers	Minimum%			
	Max papers in the same journal 50% (Senior Lecturer 1.5 points, Associate Professor 3 points and Professor 3.5 points)				
Conference paper	Use Table 3	Maximum%			
Consultancy reports	Use Table 3	Maximum%			
Books	Use Table 3	Maximum%			
(Add rows depending of the type of publications	Use Table 3	Maximum%			
Subtotal					
	_				
Teaching (T)	No. of years 3	Minimum: 2			2

## Overall Performance (OP)

OP = T+P	T = contribution of teaching, P = contribution of publication	

OP=.....

Departmental	Recomme	endatio	ns:				
The staff has	scored a	a total	of:	•••••	of	publications	and
satisfies the Un	iversity cr	riteria fo	or promo	tion.			
Recommended	for	pron	notion	to	the	rank	of:-
•••••	• • • • • • • • • • • • • • • • • • • •	•••••	• • • • • • • • • • • • • • • • • • • •				
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College Recom	ımendatio	ons:					
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Postgraduate	Studies,	Resea	arch aı	ıd Pul	olicati	on Commit	tee's
Recommendat	ions:						
Recommenda	tions:	•••••	•••••	•••••	•••••	•••••	•••••
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Name			Sign	ature			

Deputy Vice Chancellor Academic Research and Consultancy

Administration,	Human	Resource	Management	Committee's
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**Chairperson of the Council** 

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According to the	AHRMC meeting of Mbeya University of
Science and Technology held on	, item of agenda, this
Guidelines For Assessing Academ approved.	ic Staff Performance has been read and
AHRMC-AC CHAIRPERSON	
Signature	MUST AHRMC-AC SECRETARY
Date	Signature
	Date